

Conditionals, Questions and Content

A Theory, A Puzzle, An Advertisement

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Conditionals

Three Interconnected Questions

Three Questions

- ① How should language users' competence with conditionals be characterized to best explain the forms of behavior they in fact exhibit?
- ② How does this competence, when employed in these ways, achieve certain ends, e.g. successful action, coordinated action, reliable belief?
- ③ How might this competence be purposively refined to better suit certain specialized tasks such as scientific explanation?

This Project

Explore Question One

Three Questions

- ① How should language users' competence with conditionals be characterized to best explain the forms of behavior they in fact exhibit?
- ② How does this competence, when employed in these ways, achieve certain ends, e.g. successful action, coordinated action, reliable belief?
- ③ How might this competence be purposively refined to better suit certain specialized tasks such as scientific explanation?

- Many interesting interactions between questions
- Here, I'm focused on question 1, though I'll attempt to draw less-focused connections to the other questions
- Eventually, I will claim that the answer which emerges has interesting consequences for the other questions

Outline

- ① The Interrogative Link
- ② A Theory
- ③ A Puzzle
- ④ An Advertisement

The Interrogative Link

If in Interrogative Environments

Under Interrogative Verbs

As stressed by Haiman (1978) and Harman (1979):

- (1) Albert wondered **if** Mabel loved John
- (2) Mabel asked **if** John was going to the party

But, also:

Interrogative Equatives

- (3) The future is coming. The question is **if** we will be ready for it.

The Interrogative Link

Across Languages

Beyond English

- Romance Languages (Kayne 1991: §2.2)
 - Bulgarian & Slavics (Bhatt & Pancheva 2006: 653)
 - Hebrew (Roger Schwarzschild p.c.)
 - Korean (Seunghun Lee p.c.)
 - Hua, Mayan Tzotzil, Tagalog (Haiman 1978: 570)
 - ASL and LIS (Pyers & Emmorey 2008, Belletti p.c.)
- Wide distribution makes lexical ambiguity implausible and problematically unexplanatory

The Interrogative Challenge

Traditional Theories of *If*

The Problem for Traditional Theories of *If*

- Connective Theories: no relational meaning for *if*
 - Restrictor Theories: no restriction of relational operator; non-vacuous meaning for *if*
 - Expressive Theories: no expression of belief change dispositions or act of supposition
- Important to qualify this challenge...

The Interrogative Challenge

A Clarification

- Evidence does not support an **identification** of conditional antecedents with interrogative clauses
- Just think about English conditionals without *if*, e.g. *q given that p, supposing that p, q*
- Some languages exhibit less direct convergence between conditional and interrogative morphology
 - Polish (Tabakowska 1997: §4), German (Meola 2001: 134)
- Others exhibit little
 - Kalaallisut (Bittner p.c.), Cheyenne (Murray p.c.)

The Interrogative Challenge

Official Version

The Interrogative Challenge

- How could a language employ a single morpheme to form interrogatives and conditional antecedents?
- Why would so many unrelated languages do this with their conditional-marker?
- Meeting this challenge will require revising the semantic fine-structure posited by current theories of conditionals
- *Claim*: these revisions introduce changes that impact the issues philosophers care about

Bonus Data I

Meeting the Interrogative Challenge

Meeting the challenge would aid a uniform semantics for:

- (4)
- Leland danced **if** Bob danced
 - Leland danced **whether or not** Bob danced
 - Leland danced **when** Bob danced
 - Leland danced **how** Bob danced
 - Leland danced **where** Bob danced

Bonus Data II

Meeting the Interrogative Challenge

Meeting the challenge would help with other puzzling data:

- **Advertising Conditionals**

(5) Do you need an efficient car? Then Honda has the vehicle for you
- **Conditional Inversion** (Embick & Iatridou 1994)

(6)

 - Bob had danced
 - Had Bob danced?
 - Had Bob danced, Leland would have danced
 - Limited to subjunctives in English, but used in indicatives in many other languages (Embick & Iatridou 1994: 191)

Conditionals

As Encapsulating Interrogative Interactions

Hypothesis: all occurrences of *if* are **interrogative**

Jespersen (1940: 374), Austin (1956: 212), Grice (1989: 75-6)

q if p offers *q* in response to a **hypothesized** affirmative answer to the question *p?*, i.e. *p*

- (7) A: If Bob danced, Leland danced
- (8) A: Did Bob dance?
B: Yes Hypothetical Inquiry
A: (Then) Leland danced

- (7) **encapsulates** the interrogative **interaction** in (8)
- **B** is a hypothetical information source

Conditionals

Variations in Interaction

- (9) a. If you have a dog, is it neutered?
 b. Is it the case that if you have a dog it is neutered?
- Restrictor & connective semantics for *if* require second argument to be proposition, e.g. $M(\phi, \psi), \phi \rightarrow \psi$
 - Thus, they must treat the question operator in (9a) as taking wide scope, a lá (9b)
 - This gets the answerhood conditions for (9a) wrong
 - Also: many different discourse relations between antecedent and consequent (Lycan 2001: 184-211)

Context

Stalnaker's Picture

Context Set (Stalnaker 1999a: 6)

“A context should be represented by a body of information that is presumed to be available to the participants in the speech situation. A *context set* is defined as the set of possible situations that are compatible with this information — with what the participants in the conversation take to be the common shared background.”

- The **context set** c is a set of possible worlds
- It is the set of worlds compatible with the agents' mutual conversational presuppositions

(Stalnaker 1978, 1998, 2002)

Interactions with Context

Dynamic Picture: Meaning as Context-Change

Programs, States, Morphemes and Contexts

- The execution of a **program** π on a machine m brings about a change in the **state** of m
- Pratt (1976): the meaning of π is the characteristic change its execution brings about
 - I.e. a **relation** between input and output **states**
- Heim (1982): morphemes are programs, contexts are machine states & meanings are interactions w/context

Relational Meaning $c[\phi] = c'$ (an interaction w/context)
 ‘the result of updating c with ϕ is c' ’

(Gärdenfors 1984; Veltman 1996)

Interactions with Context

Dynamic Picture: Meaning as Update

Worlds, Atomic Propositions

$$W : \mathcal{A}t \mapsto \{1, 0\} \quad \llbracket p \rrbracket = \{w \in W \mid w(p) = 1\}, \text{ if } p \in \mathcal{A}t$$

Update Semantics (Relational Meanings)

$$\begin{aligned} (1) \quad c[p] &= \{w \in c \mid c \cap \llbracket p \rrbracket\} \\ (2) \quad c[\neg\phi] &= c - c[\phi] \\ (3) \quad c[\phi \wedge \psi] &= (c[\phi])[\psi] \\ (4) \quad c[\phi \vee \psi] &= c[\phi] \cup c[\psi] \end{aligned}$$

Semantic Concepts

Acceptable $c[\phi] \neq \emptyset$ **Truth in** w $w \models \phi \Leftrightarrow \{w\}[\phi] = \{w\}$
Supported $c \models \phi \Leftrightarrow c[\phi] = c$ **Sem. Content** $\llbracket \phi \rrbracket = \{w \mid w \models \phi\}$

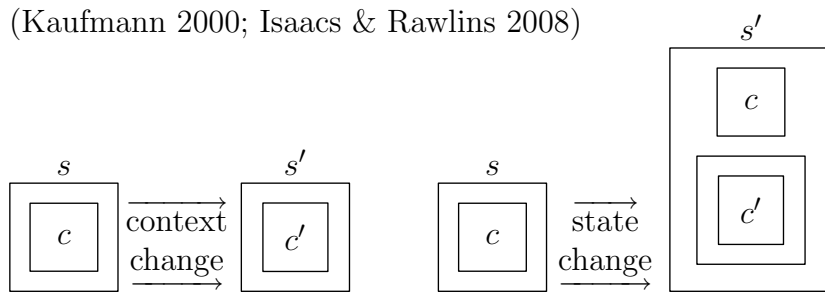
Speaker Content $\llbracket \phi \rrbracket_c = \{w \in c \mid c[\phi] = c' \ \& \ w \in c'\}$

Consequence $\phi_1, \dots, \phi_n \models \psi \Leftrightarrow \forall c : c[\phi_1] \cdots [\phi_n] \models \psi$

Hypothetical Interactions

State Interactions and Subordinate Contexts

- Next Step: model **hypothetical** interactions w/context
- Idea: hypothetical interactions don't change c , they introduce a **sub-context** derived from c and change it
- For $c \subseteq W$: $\langle c \rangle$ is a state, and $\langle c, s \rangle$ is a state if s is



Interrogatives

Hamblin's Picture

Hamblin (1958) on Answerhood Conditions

To know the meaning of an **interrogative sentence** is to know what would count as an answer to it, i.e. its answerhood conditions

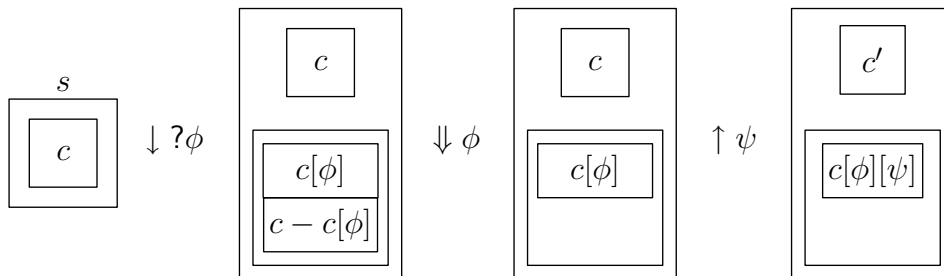
On Answerhood Conditions (Hamblin 1973)

- Interrogatives denote sets of propositions (its answers)
- Yes/no interrogatives: $[[?p]] = \{[[p]], [[\neg p]]\}$
- Equivalently: $?\phi$ **partitions** logical space (Groenendijk & Stokhof 2001)
- Here: $c[?\phi]$ partitions c into $c[\phi]$ & $c - c[\phi]$
- Formal Tweak: take c to be a set of pairs of worlds (Groenendijk 1999); tweak suppressed in this presentation

The Theory

In Pictures

$$s[(\text{if } \phi) \psi] = ((s \downarrow ?\phi) \downarrow \phi) \uparrow \psi \text{ (preliminary version)}$$



$$c' = \{w \in c \mid \langle c[\phi] \rangle \models \psi\}$$

$$s \downarrow \phi = \langle c, \langle c_0, \dots, \langle c_n, \langle c \rangle [\phi] \rangle \dots \rangle, \quad s \downarrow \phi = \langle c, \langle c_0, \dots, \langle c_n \rangle [\phi] \dots \rangle$$

$$s \uparrow \psi = \langle c', \langle c_0, \dots, \langle c_n, \langle c_n \rangle [\psi] \rangle \dots \rangle, \quad c' = \{w \in c \mid s_n \models \psi\}$$

The Theory

Official Version

- This semantics ends up in a familiar place
 - $(\text{if } \phi) \psi$ requires that all ϕ -worlds in c are ψ -worlds
 - I.e. it is a **strict conditional** over c
- Bad features can be neutralized with dynamic \models and a semantic presupposition: ϕ is possible in c ($c[\phi] \neq \emptyset$) (Gillies 2009: §7)

Official Semantics

$$s[(\text{if } \phi) \psi] = \begin{cases} ((s \downarrow ?\phi) \downarrow \phi) \uparrow \psi & \text{if } c[\phi] \neq \emptyset \\ \text{Undefined} & \text{otherwise} \end{cases}$$

Conditional Propositions

Truth and The Presuppositional Void

Key Definitions

Truth in w $w \models \phi \Leftrightarrow \langle \{w\} \rangle [\phi] = \langle \{w\}, \dots \rangle$ Sem. Content $\llbracket \phi \rrbracket = \{w \mid w \models \phi\}$

Speaker Content $\llbracket \phi \rrbracket_s = \{w \in c \mid s[\phi] = s' \ \& \ w \in c'\}$

- If ϕ is false in w , $\langle \{w\} \rangle [(if \ \phi) \ \psi]$ is undefined
 - Thus, $\llbracket (if \ \phi) \ \psi \rrbracket$ is not a well-defined proposition
- Does $\llbracket (if \ \phi) \ \psi \rrbracket_s$ give truth-conditions (relative to s)? No.
 - Still not well-defined for some s
 - Delusion makes $(if \ \phi) \ \psi$ 'true in w relative to s '
 - Also $\llbracket (if \ \phi) \ \psi \rrbracket_s = c$ or $\llbracket (if \ \phi) \ \psi \rrbracket_s = \emptyset$
- Yet, conditionals have context-independent TVs at **some** worlds
- $w \models (if \ \phi) \ \psi$ if $w \models \phi \wedge \psi$, and $w \not\models (if \ \phi) \ \psi$ if $w \models \phi \wedge \neg \psi$

Conditional Propositions

Truth and The Presuppositional Void Cont'd

- The content of an indicative conditional is not a classical proposition
 - Perhaps a 'partial proposition' (a la Belnap 1973, a.o.)
- This proposition can be done without in the present framework
 - The framework provides procedures for co-ordinating on a shared body of information other than updating the context with a proposition (as in Stalnaker 1999b)
 - The concepts that model speaker's intuitions (support, acceptance, acceptability) are not semantic content or truth
- Still, these procedures fix **some** truth-conditions & for some sentences familiar, propositional semantic contents

Subjunctive Conditionals

What about Subjunctives?

Jason's Challenge

This story is hopeless for subjunctives.

(10) If Bob had danced, Leland would have danced

- Even if one could tweak things to say something about worlds where the antecedent is false, this looks like an in-principle difficulty
- What question does *if Bob had danced* raise?
- If it isn't a question isn't the proposal that all *if*'s are interrogative sunk?
- Indeed, it seems impossible to embed counterfactual *if*-clauses under interrogative verbs

Subjunctives & Interrogative Attitudes

A Missing Reading

- Subjunctive attitude ascriptions:
 - (11) Bob never danced, but I **wish** he **had** danced
- Not possible with interrogative verbs:
 - (12) #Bob never danced, but I wonder [if he had danced]
- There is a purely **past** reading in:
 - (13) I wonder if he had danced (by 2am yesterday)
 - (14) Had Bob danced (by 2am yesterday)?
- Why isn't there a 'counterfactual' reading available in interrogatives?
- What would such a reading *be*?

Subjunctives

The So-Called Fake Past

- ‘Past tense’ gets co-opted for counterfactual purposes
 - (15) a. If Bob danced, Leland would dance
 - b. If Bob had danced, Leland would have danced
 - c. If Bob were dancing, Leland would be dancing
- When it is co-opted it is one of the ingredients of counterfactual meaning (Iatridou 2000; Ippolito 2003)
- Bittner (2008): Kalallisut which has grammatical mood morphology, has hypothetical mood on antecedent and declarative on consequent — just like indicatives — but has a **modal auxiliary** in both clauses
- Project: model the meaning of this co-opted past tense as a modal operator which generates only a trivial partition when placed under ? operator, but also extends the semantics for indicative conditionals above to a plausible semantics for counterfactuals when inserted in the antecedent

Subjunctives

Light Bulb Case

Light Bulb (Lifschitz via Schulz 2007)

I'm giving you a quiz to test basic comprehension

There are two light switches of the familiar sort, S_1 and S_2 , that control a light bulb L . Flipping both switches down causes the bulb to turn off. Every other setting leaves the bulb on. Currently, S_1 is down and S_2 is up, and so L is on. If S_2 were flipped down, would L turn off?

- The answer seems to be *yes*
- Lewis (1973)/Stalnaker (1968) semantics: no prediction either way
- Lewis (1979): false, since it requires holding fixed the particular fact that S_1 is down

Light Bulb Case

The Menu, The Claim

- Light Bulb is like Morgenbesser's case w/o the indeterminism (Slote 1978)
- As with Morgenbesser's case, similarity accounts must build causal dependence into their calculation of similarity (Bennett 2003: §90, Schaffer 2004)
- *Claim*: once one has the notion of causal dependence or more generally **lawful dependence**, one has everything necessary to state the truth-conditions of counterfactuals
- Similarity is the ghost of lawful dependence
- I'll defend this by sketching a semantics along the lines of Pearl (1998, 2000: Ch.7) and Hiddleston (2005)

Classical Possible Worlds

Familiar Territory

- $\bullet = 0, \circ = 1$; Idealizing $\mathcal{At} = \{p, q, r\}$

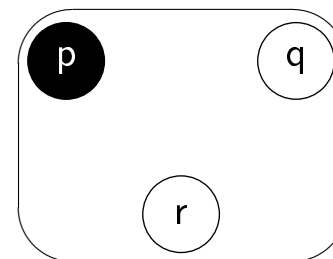


Figure: Classical possible world w

$$w(p) = 0$$

$$w(q) = 1$$

$$w(r) = 1$$

Figure: System of equations for w

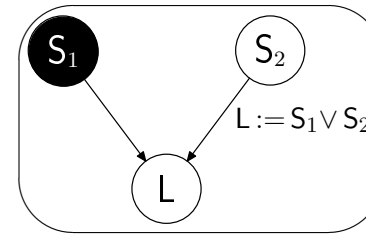
Pearl's Proposal

Overview

- Pearl's proposal: counterfactuals exploit a special kind of structure *within* possible worlds that is absent from classical semantics
- The structure: certain invariant relationships, like the switches and the bulb in Light Bulb
- Recall: in w , S_1 is down, S_2 is up and L is on
 - Crucially, there's more: the switches control the light such that the truth of $S_1 \vee S_2$ brings about the truth of L and the falsity of $S_1 \vee S_2$ brings about the falsity of L (L : light on, S_n : switch n up)
- Let's draw w in a way that incorporates this crucial addition

Structured Worlds

Invariance and Dependence: DAG'n It



$$\begin{aligned}
 i_w(S_1) &= 0 \\
 i_w(S_2) &= 1 \\
 d_w(L, i_w) &= (i_w(S_1) + i_w(S_2)) \\
 &\quad - (i_w(S_1) \cdot i_w(S_2)) \\
 &= 1
 \end{aligned}$$

Figure: Structured w Figure: Equations for w

- i_w assigns TVs to independents $I \subseteq \mathcal{At}$
- d_w assigns TVs to $\mathcal{At} - I$ as Boolean functions of i_w
- Equations required to define a **directed acyclic graph**

Structured Worlds

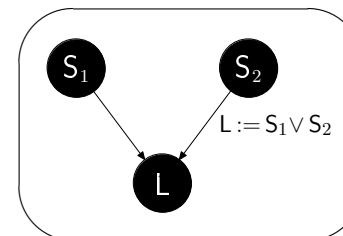
Evaluating Counterfactuals

- Evaluating the counterfactual $\neg S_2 > \neg L$ in w is a two step process.
 - 1 *Action*: change w minimally to make $\neg S_2$ true; call this world $w\langle\neg S_2\rangle$.
 - 2 *Projection*: project the consequences of this change through the dependencies and check the truth-value of $\neg L$. If it's 1, the conditional is true in w . If it's 0, the conditional is false in w .
- What exactly is this change, how exactly does projection work and what's the verdict?

Structured Worlds

The Verdict

For $w\langle\neg S_2\rangle$:



$$\begin{aligned}
 i_{w\langle\neg S_2\rangle}(S_1) &= i_w(S_1) \\
 &= 0 \\
 i_{w\langle\neg S_2\rangle}(S_2) &\neq i_w(S_2) \\
 i_{w\langle\neg S_2\rangle}(S_2) &= 0 \\
 d_{w\langle\neg S_2\rangle}(L, i_{w\langle\neg S_2\rangle}) &= d_w(L, i_{w\langle\neg S_2\rangle}) \\
 &= (i_{w\langle\neg S_2\rangle}(S_1) + i_{w\langle\neg S_2\rangle}(S_2)) \\
 &\quad - (i_{w\langle\neg S_2\rangle}(S_1) \cdot i_{w\langle\neg S_2\rangle}(S_2)) \\
 &= 0
 \end{aligned}$$

- *Action*: make S_2 -node black
- *Projection*: use the law to fix the color of the L -node in concert with change to S_2 -node
- *Verdict*: $\neg L$ is true in $w\langle\neg S_2\rangle$, so $\neg S_2 > \neg L$ is true in w

Pearl Conditionals

Summary

Dependency Semantics for Subjunctives

- $\llbracket \phi > \psi \rrbracket = \{w \mid w \langle \phi \rangle \in \llbracket \psi \rrbracket\}$
- $\phi > \psi$ is true iff either ψ is independent of ϕ and true, or else ϕ is sufficient for bringing about ψ when holding fixed all those facts that do not depend upon ϕ .

- $w \langle \phi \rangle$ is the world that differs at most from w in that $w \langle \phi \rangle \in \llbracket \phi \rrbracket$

(Intuitive Paraphrase from Cumming 2009)

A New Modal Operator

Restructuring Worlds

Remote Possibility \diamond_r

$$c[\diamond_r \phi] = \{w \langle \phi \rangle \mid w \in c\} \cup (c - c[\phi])$$

- Expands c with a ϕ -world for each $\neg\phi$ -world
- In general, $c \subseteq c[\diamond_r \phi]$

- $c[?\diamond_r \phi]$ partitions: $c[\diamond_r \phi]$ and $c - c[\diamond_r \phi]$
- But $c - c[\diamond_r \phi]$ is empty!

$$c - c[\diamond_r \phi] = \{w \in c \mid w \notin c[\diamond_r \phi]\} \quad \text{Df. of } A - B$$

$$= \emptyset \quad \text{Since } c \subseteq c[\diamond_r \phi]$$

Meeting Jason's Challenge

Questions and Interrogative Attitudes

- *Assumptions:*
 - 1 The act of asking a question induces a non-trivial partition on the context
 - 2 Ascribing an agent an interrogative attitude to $?\psi$ presupposes that $?\psi$ induces a non-trivial partition on that agent's belief-state
- *Consequences:*
 - 1 $?\diamond_r \phi$ cannot be used to ask a question
 - 2 Ascribing an agent an interrogative attitude to $?\diamond_r \phi$ will never be felicitous
- Thus, it can be explained why counterfactuals cannot be intuitively described as having antecedents that raise a 'hypothetical question'

The Theory

Official Semantics

Official Semantics

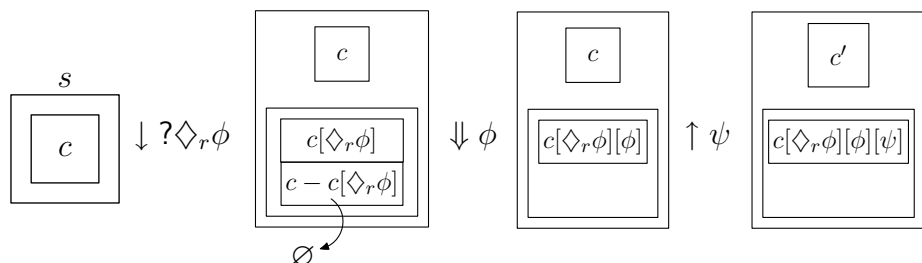
$$s[(\text{if } \diamond_r \phi) \psi] = \begin{cases} ((s \downarrow ?\diamond_r \phi) \downarrow \phi) \uparrow \psi & \text{if } c[\diamond_r \phi] \neq \emptyset \\ \text{Undefined} & \text{otherwise} \end{cases}$$

- \diamond_r neutralizes the presupposition
 - $c[\diamond_r \phi]$ is always non-empty
- So, unlike indicatives, there's no 'presuppositional void'
- $\llbracket (\text{if } \diamond_r \phi) \psi \rrbracket$ is a well-defined **proposition**

The Theory

In Pictures: Subjunctives

$$s[(\text{if } \diamond_r \phi) \psi] = ((s \downarrow ?\diamond_r \phi) \downarrow \phi) \uparrow \psi$$



$$c' = \{w \in c \mid \langle c[\diamond_r \phi][\phi] \rangle \models \psi\}$$

Bonus I

Simplification of Disjunctive Antecedents

- (16) a. If Bob had danced or Leland had cried, Donna would have left the party
 b. If Bob had danced, Donna would have left the party
 c. If Leland had cried, Donna would have left the party

- (16a) intuitively entails (16b) and (16c)
- But Lewis/Stalnaker semantics does not capture this

Fact: $(\text{if } \diamond_r \phi_1 \vee \diamond_r \phi_2) \psi \models (\text{if } \diamond_r \phi_1) \psi \wedge (\text{if } \diamond_r \phi_2) \psi$

- Why? $c[\diamond_r \phi_1 \vee \diamond_r \phi_2] = c[\diamond_r \phi_1] \cup c[\diamond_r \phi_2]$

(Nute 1975; Loewer 1976)

Bonus I

Simplification of Disjunctive Antecedents Cont'd

McKay & van Inwagen (1977):

- (17) a. If Spain had fought for the Axis or the Allies, she would have fought for the Allies
 b. If Spain had fought for the Axis, she would have fought for the Allies

- (17a) does **not** entail (17b)
 - Counterexample to SDA? No!
- (17a) is $(\text{if } \diamond_r (X \vee L)) L$, **not** $(\text{if } \diamond_r X \vee \diamond_r L) L$
 - (17a) is not equivalent to *if Spain had fought for the Axis or if Spain had fought for the Allies, she would have fought for the Allies*, which sounds clearly false
- Quite happily, $(\text{if } \diamond_r (X \vee L)) L \not\models (\text{if } \diamond_r X) L$

Bonus II

Reverse Sobel Sequences

Acceptable Discourse:

- (18) a. If Bob had danced, he would have had fun
 b. But, if Bob had danced and broken his leg, he wouldn't have had fun

Unacceptable Discourse:

- (19) a. If Bob had danced and broken his leg, he wouldn't have had fun
 b. But, if Bob had danced, he would have had fun

- **Modal subordination:** *A wolf might have walked in. It would have eaten me.* (Roberts 1989)
- **Key:** *it* is not interpreted in the **real context**, but in a **counterfactual context** created by the first sentence

The Basic Idea

The most natural interpretation of (19) is to read (19b) as elaborating on a counterfactual context where Bob danced, broke his leg and didn't have fun, i.e. replace *Bob* in (19b) with *he*. In this context (19b) is clearly contradictory and so (19) seems unacceptable

Bonus II

Reverse Sobel Sequences

- (19) a. If Bob had danced and broken his leg, he wouldn't have had fun
 b. But, if Bob had danced, he would have had fun
- This idea can be captured in this framework by representing the interpretation of (19) as (21) rather than (20)
- (20) $(s[(\text{if } \diamond_r(D \wedge B)) \neg F])[(\text{if } \diamond_r D) F]$
 (21) $(s[(\text{if } \diamond_r(D \wedge B)) \neg F]) \uparrow (\text{if } \diamond_r D) F = s''$
- This interpretation evaluates (19b) in the subordinate state created by (19a) by testing that that sub-state supports (19b)
 - That sub-state is $s' = \langle c[\diamond_r(D \wedge B)][D \wedge B][\neg F] \rangle$
 - So $s'' = \langle c'', \dots \rangle$, where $c'' = \{w \in c' \mid s' \models (\text{if } \diamond_r D) F\}$
 - But $s' \not\models (\text{if } \diamond_r D) F$, so $c'' = \emptyset$. Hence (19) is unacceptable!

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Bonus II

Reverse Sobel Sequences

- This proposed analysis of (19) treats the unacceptability as partly pragmatic
- It exploits an assumption about the **intended relation** between (19a) & (19b)
- This relation effects a sort of anaphoric connection between the sentences
- On these points it differs from the accommodation-based accounts offered by von Stechow (2001) and Gillies (2007)
- Since accommodation-based accounts of modal subordination are inferior to anaphoric accounts, this should count as a unifying improvement (Stone 1999; Brasoveanu 2007)
- In some cases the unacceptability of discourses like (19) wanes, suggesting that the added flexibility of the present approach is an improvement

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